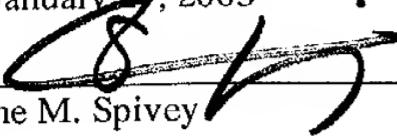




Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: January 20, 2003

  
Christine M. Spivey

6P 2834

102800 MAIL ROOM  
FEB -7 2003

RECEIVED

PATENT  
36856.510

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|  |                          |
|--|--------------------------|
| Applicant: Hajime KANDO                        | Art Unit: 2834           |
| Serial No.: 09/887,173                         | Examiner: T.M. Dougherty |
| Filed: June 22, 2001                           |                          |
| <b>Title: SURFACE ACOUSTIC WAVE<br/>DEVICE</b> |                          |

INFORMATION DISCLOSURE STATEMENT

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, submitted herewith are copies of five (5) references cited in the enclosed examination report issued in a corresponding Japanese patent application. For the Examiner's convenience, we have enclosed an English translation of the Japanese examination report from the corresponding Japanese patent application and a completed Form PTO-1449. The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

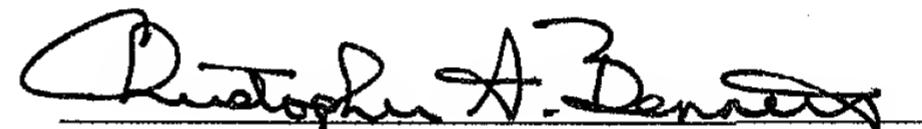
I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign

application not more than 3 months prior to the filing of this statement, and that this is the first citation of these prior art references by a foreign patent office in a counterpart foreign patent application. Accordingly, no fee is necessary for the filing of this statement. Should the Commissioner determine otherwise, the Commissioner is authorized to charge Deposit Account No. 50-1353 for any fee shortages, including the petition fee under 37 C.F.R. § 1.17(p).

Applicant respectfully requests that the disclosed references be made of record in the subject application.

Respectfully submitted,

Date: January 29, 2003



Attorneys for Applicant(s)

Joseph R. Keating  
Registration No. 37,368

Christopher A. Bennett  
Registration No. 46,710

**KEATING & BENNETT LLP**  
**10400 Eaton Place, Suite 312**  
**Fairfax, VA 22030**  
**(703) 385-5200**



| FORM PTO-1449 (MODIFIED 10-97)   |  | ATTY. DOCKET NO.<br>36856.510 | SERIAL NO.: 09/887,173 |                               |                                     |
|--|--|-------------------------------|------------------------|-------------------------------|-------------------------------------|
| LIST OF PATENTS AND PUBLICATIONS<br>FOR APPLICANTS INFORMATION<br>DISCLOSURE STATEMENT<br><br>(USE SEVERAL SHEETS IF NECESSARY)  |  | APPLICANT(S): Hajime KANDO    |                        |                               |                                     |
| Sheet  | 1  | of                            | 1                      | FILING DATE:<br>June 22, 2001 | GROUP: 2834                         |
| U.S PATENT DOCUMENTS   |  |                               |                        |                               |                                     |
| Examiner Initial   | Document Number  | Date                          | Name                   | Class                         | Filing Date Subclass if appropriate |
|  | AA   |                               |                        |                               |                                     |
|  | AB   |                               |                        |                               |                                     |
|  | AC   |                               |                        |                               |                                     |
|  | AD   |                               |                        |                               |                                     |
|  | AE   |                               |                        |                               |                                     |
|  | AF   |                               |                        |                               |                                     |
| FOREIGN PATENT DOCUMENTS   |  |                               |                        |                               |                                     |
|  | Document Number  | Date                          | Country                | Class                         | Filing Date Subclass if appropriate |
|  | AG 8-288780 A (With English Abstract)  | 11/1996                       | Japan                  |                               |                                     |
|  | AH 2001-267879 A (With English Abstract)   | 9/2001                        | Japan                  |                               |                                     |
|  | AI 2001-189637 A (With English Abstract)   | 7/2001                        | Japan                  |                               |                                     |
|  | AJ 2002-043887 A (With English Abstract)   | 2/2002                        | Japan                  |                               |                                     |
|  | AK   |                               |                        |                               |                                     |
|  | AL   |                               |                        |                               |                                     |
|  | AM   |                               |                        |                               |                                     |
|  | AN   |                               |                        |                               |                                     |
|  | A0   |                               |                        |                               |                                     |
| OTHER ART (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)   |  |                               |                        |                               |                                     |
|  | AP Kazuhiro HIROTA et al.; "New SPUDT Structure and Improved Type of Distributed-Element Equivalent Circuit for SAW Grating," Technical Report of the Institute of Electronics, Information and Communication Engineers (IEICE), September 25, 1997, Vol. 97, No. 276 (US 97-47), pp. 17-24. |                               |                        |                               |                                     |
|  | AR   |                               |                        |                               |                                     |
|  | AS   |                               |                        |                               |                                     |
|  | AT   |                               |                        |                               |                                     |
| EXAMINER   |  | DATE CONSIDERED               |                        |                               |                                     |
|  |  |                               |                        |                               |                                     |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |  |                               |                        |                               |                                     |